SPECIFIC PROCUREMENT DOCUMENT

Prequalification Document For Works

of

Upgrading to Bitumen Standard the Thaba-Tseka to Katse Road Project (+/- 55 Km) In the Thaba Tseka District

The Government of the Kingdom of Lesotho, Ministry of Public Works and Transport Roads Directorate

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Specific Procurement Document Summary

Specific Procurement Notice - Invitation for Prequalification

The attached SPN is the Invitation for Prequalification.

Prequalification Document: Procurement of Works

PART 1 – PREQUALIFICATION PROCEDURES

Section I - Instructions to Applicants (ITA)

This Section provides information to help the Applicants in preparing and submitting their Applications for Prequalification ("Applications"). Information is also provided on opening and evaluation of Applications. **Section I contains provisions that are to be used without modification.**

Section II - Prequalification Data Sheet (PDS)

This Section includes provisions that are specific to each prequalification and supplement Section I, Instructions to Applicants.

Section III - Qualification Criteria and Requirements

This Section specifies the methods, criteria, and requirements to be used to determine how Applicants shall be prequalified and later invited to bid.

Section IV - Application Forms

This Section includes the Application Submission Letter and other forms required to be submitted with the Application.

Section V - Eligible Countries

This Section contains information regarding eligible countries.

Section VI - Fraud and Corruption

This Section provides the Applicants with the reference to the Bank's policy in regard to Fraud and Corruption applicable to the prequalification process.

PART 2 – WORKS' REQUIREMENTS

Section VII - Scope of Works

This Section includes a summary description, delivery and completion schedules, and Site and other Data of the Works subject of this Prequalification. The Scope of Works may also include a summary of the environmental and social (ES) requirements (including requirements relating to Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH)) which are to be satisfied by the Contractor in executing the Works.

Invitation for Prequalification

Country: The Kingdom of Lesotho

Name of Project: Lesotho Integrated Transport Trade & Logistics Project LITTL P502125

Contract Title: Upgrading To Bitumen Standard the Thaba-Tseka to Katse Road Project (+/- 55 Km) In the Thaba Tseka District

Sector: Transport

Loan No./Credit No./Grant No.: Credit A - 75690-LS

Prequalification Reference No.: LS-MOPWT-RD-509588-CW-RFB

1. The Government of the Kingdom of Lesotho has received financing from the World Bank toward the cost of the Lesotho Integrated Transport Trade & Logistics Project LITTL P502125 and intends to apply part of the proceeds toward payments under the contract for Upgrading to Bitumen Standard the Thaba-Tseka to Katse Road Project (+/- 55 Km) in the Thaba Tseka District.

2. The Ministry of Public Works and Transport - Roads Directorate intends to prequalify contractors for the Upgrading to Bitumen Standard the Thaba-Tseka to Katse Road Project (+/- 55 Km) in the Thaba Tseka District. It is expected that the Request for Bids will be made in **January 2026.**

3. Prequalification will be conducted through the procedures as specified in the World Bank's Procurement Regulations for IPF Borrowers **September 2023** ("Procurement Regulations"), and is open to all eligible Applicants as defined in the Procurement Regulations.

4. Interested eligible Applicants may obtain further information from the Roads Directorate at the address below during office hours **(09h00 to 16h00 hours).** A complete set of prequalification documents in English may be obtainable from Roads Directorate's website www.rd.org.ls by interested Applicants free of charge or through request from the following email manoelin@rd.org.ls and cc: kikinesk@rd.org.ls and the document will be sent by email.

5. Applications for prequalification should be submitted in clearly marked envelopes "**Prequalification for Upgrading to Bitumen Standard the Thaba-Tseka to Katse Road Project (+/- 55 Km) In the Thaba Tseka District**" and delivered to the address below by **10:00hrs** (local time) on **13th November 2025**. Late applications may be rejected. Prequalification documents will be publicly opened on the same day as the submission date at **10:30hrs (**local time) in the presence of the applicants designated representatives and anyone who chooses to attend.

   The Procurement Section

   Mr. Ntaba Manoeli – Procurement Specialist

Postal Address:

Roads Directorate – Procurement Section

P.O.Box 194

Maseru 100

Lesotho

Physical Address:

Roads Directorate,

Corner Senate and Lepoqo Street

Industrial Area (Opposite Browns Wholesalers)

Procurement Section, Ground Floor (Room G11)

City: Maseru

Country: Lesotho

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Electronic mail address: manoelin@rd.org.ls copy to: mokhoanete@rd.org.ls; kikinesk@rd.org.ls; marek@rd.org.ls; ntserel@rd.org.ls; mahiritonaf@rd.org.ls

Website: *https://www.rd.org.ls/*

The Roads Directorate reserves the right to accept or reject any or all tenders for reasons that may be advanced

Prequalification Document of Works

Procurement of:

Upgrading To Bitumen Standard the Thaba-Tseka to Katse Road Project (+/- 55 Km) In the Thaba Tseka District

Invitation for Prequalification No.: LS-MOPWT-RD-509588-CW-RFB

Prequalification Document (PQD) No*:* LS-MOPWT-RD-509588-CW-RFB

Project*:* Lesotho Integrated Transport Trade & Logistics Project LITTL P502125

Employer*:* Ministry of Public Works & Transport – Roads Directorate

Country*:* The Kingdom of Lesotho

Issued on*:* 19th September 2025

Prequalification Document

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PART 1 – Prequalification Procedures

Section I - Instructions to Applicants

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Section I - Instructions to Applicants

A. General

1. Scope of Application

1.1 In connection with the invitation for Prequalification indicated in Section II (Prequalification Data Sheet) (PDS), the Employer, as defined **in the PDS,** issues this Prequalification Document ("Prequalification Document") to prospective applicants ("Applicants") interested in submitting applications ("Applications") for prequalification to bid for the Works described in Section VII (Scope of Works). In case the Works are to be bid as individual contracts (i.e., the slice and package procedure), these are listed **in the PDS**. The Request for Bids (RFB) number corresponding to this prequalification is also provided **in the PDS.**

2. Source of Funds

2.1 The Borrower or Recipient (hereinafter called "Borrower") indicated **in the PDS** has applied for or received financing (hereinafter called "funds") from the International Bank for Reconstruction and Development or the International Development Association (hereinafter called "the Bank") in an amount specified **in the PDS,** towards the cost of the project named **in the PDS**. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) resulting from the bidding for which this prequalification is conducted.

2.2 Payment by the Bank will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan (or credit) account for the purpose of any payment to persons or entities, or for any import of goods, equipment, plant or materials, or services if such payment or import, to the knowledge of the Bank, is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the proceeds of the loan (or credit).

3. Fraud and Corruption

3.1 The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in Section VI, Fraud and Corruption.

3.2 In further pursuance of this policy, Applicants shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any initial selection process, prequalification process, bid submission (in case prequalified), proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

4. Eligible Applicants

4.1 Applicants shall meet the eligibility criteria as per this ITA and ITA 5.1 and 5.2.

4.2 An Applicant may be a firm that is a private entity, a state-owned enterprise or institution subject to ITA 4.8 or any combination of such entities in the form of a joint venture ("JV") under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the prequalification process, bidding (in the event the JV submits a Bid) and during contract execution (in the event the JV is awarded the Contract). Unless specified **in the PDS**, there is no limit on the number of members in a JV.

4.3 A firm may apply for prequalification both individually, and as part of a joint venture, or participate as a subcontractor. If prequalified, it will not be permitted to bid for the same contract both as an individual firm and as a part of the joint venture or as a subcontractor. However, a firm may participate as a subcontractor in more than one Bid, but only in that capacity. Bids submitted in violation of this procedure will be rejected.[1]

4.4 A firm and any of its affiliates (that directly or indirectly control, are controlled by or are under common control with that firm) may submit its application for prequalification either individually, as joint venture or as a subcontractor among them for the same contract. However, if prequalified, only one prequalified Applicant will be allowed to bid for the same contract. All Bids submitted in violation of this procedure will

[1] If this Document is being used to prequalify Applicants for the Procurement of Plant, modify this provision in the PDS to reflect the "One Bid per Bidder" provision in the Bank's Standard Procurement Document for Plant.

be rejected.

4.5 An Applicant may have the nationality of any country, subject to the restrictions pursuant to ITA 5.1 and 5.2. An Applicant shall be deemed to have the nationality of a country if the Applicant is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed specialized sub-contractors or suppliers for any part of the Contract including related Services.

4.6 Applicants shall not have a conflict of interest. Applicants shall be considered to have a conflict of interest, if they, or any of their affiliates, participated as a consultant in the preparation of the design or technical specifications or have been hired or proposed to be hired by the Employer or Borrower as Engineer for contract implementation of the Works that are the subject of this prequalification. In addition, Applicants may be considered to have a conflict of interest if they have a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the prequalification Document or Request for Bids (RFB) Document or specifications of the Contract, and/or the Bid evaluation process of such Contract; or (ii) would be involved in the implementation or supervision of such Contract, unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the prequalification, RFB process and execution of the Contract.

4.7 An Applicant that has been sanctioned by the Bank, pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as described in Section VI, paragraph 2.2 d. shall be ineligible to be prequalified for, initially selected for, bid for, propose for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified in the PDS.

4.8 Applicants that are state-owned enterprise or institutions in the Employer's Country may be eligible to prequalify, compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of the Employer.

4.9 An Applicant shall not be under suspension from bidding by the Employer as the result of the execution of a Bid/Proposal–Securing Declaration.

4.10 An Applicant shall provide such documentary evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.

4.11 A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower's request, is satisfied that the debarment; (a) relates to fraud or corruption, and (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.

5. Eligibility

5.1 Firms and individuals may be ineligible if they are nationals of ineligible countries as indicated in Section V. The countries, persons or entities are ineligible if:

(a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or

(b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country.

5.2 When the Works are implemented across jurisdictional boundaries (and more than one country is a Borrower, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITA 5.1(a) above by any country may be applied to that procurement across other countries involved, if the Bank and the Borrowers involved in the procurement agree.

B. Contents of the Prequalification Document

6. Sections of Prequalification Document

6.1 This Prequalification Document consists of parts 1 and 2 which comprise all the sections indicated below, and which should be read in conjunction with any Addendum issued in accordance with ITA 8.

PART 1 Prequalification Procedures

- Section I - Instructions to Applicants (ITA)
- Section II - Prequalification Data Sheet (PDS)
- Section III - Qualification Criteria and Requirements
- Section IV - Application Forms
- Section V – Eligible Countries
- Section VI – Fraud and Corruption

PART 2 Works Requirements

- Section VII - Scope of Works

6.2 Unless obtained directly from the Employer, the Employer accepts no responsibility for the completeness of the document, responses to requests for clarification, the minutes of the pre-Application meeting (if any), or Addenda to the Prequalification Document in accordance with ITA 8. In case of any discrepancies, documents issued directly by the Employer shall prevail.

6.3 The Applicant is expected to examine all instructions, forms, and terms in the Prequalification Document and to furnish with its Application all information or documentation as is required by the Prequalification Document.

7. Clarification of Prequalification Document and Pre-Application Meeting

7.1 An Applicant requiring any clarification of the Prequalification Document shall contact the Employer in writing at the Employer's address indicated **in the PDS.** The Employer will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the applications. The Employer shall forward a copy of its response to all prospective Applicants who have obtained the Prequalification Document directly from the Employer, including a description of the inquiry but without identifying its source. If so indicated **in the PDS**, the Employer shall also promptly publish its response at the web page identified **in the PDS**. Should the Employer deem it necessary to amend the Prequalification Document as a result

of a clarification, it shall do so following the procedure under ITA 8. and in accordance with the provisions of ITA 17.2.

7.2 If indicated **in the PDS**, the Applicant's designated representative is invited at the Applicant's cost to attend a pre-Application meeting at the place, date and time mentioned **in the PDS**. During this pre-Application meeting, prospective Applicants may request clarification of the project requirement, the criteria for qualifications or any other aspects of the Prequalification Document.

7.3 Minutes of the pre-Application meeting, if applicable, including the text of the questions asked by Applicants, including those during the meeting (without identifying the source) and the responses given, together with any responses prepared after the meeting will be transmitted promptly to all prospective Applicants who have obtained the Prequalification Document. Any modification to the Prequalification Document that may become necessary as a result of the pre-Application meeting shall be made by the Employer exclusively through the use of an Addendum pursuant to ITA 8. Non-attendance at the pre-Application meeting will not be a cause for disqualification of an Applicant.

8. Amendment of Prequalification Document

8.1 At any time prior to the deadline for submission of Applications, the Employer may amend the Prequalification Document by issuing an Addendum.

8.2 Any Addendum issued shall be part of the Prequalification Document and shall be communicated in writing to all Applicants who have obtained the Prequalification Document from the Employer. The Employer shall promptly publish the Addendum at the Employer's web page identified **in the PDS**.

8.3 To give Applicants reasonable time to take an Addendum into account in preparing their Applications, the Employer may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 17.2.

C. Preparation of Applications

9. Cost of Applications

9.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. The Employer will in no case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

10. Language of

10.1 The Application as well as all correspondence and documents

Application

relating to the prequalification exchanged by the Applicant and the Employer, shall be written in the language specified **in the PDS.** Supporting documents and printed literature that are part of the Application may be in another language, provided they are accompanied by an accurate translation of the relevant passages in the language specified **in the PDS,** in which case, for purposes of interpretation of the Application, the translation shall govern.

11. Documents Comprising the Application

11.1 The Application shall comprise the following:

(a) **Application Submission Letter**, in accordance with ITA 12.1;

(b) **Eligibility:** documentary evidence establishing the Applicant's eligibility, in accordance with ITA 13.1;

(c) **Qualifications:** documentary evidence establishing the Applicant's qualifications, in accordance with ITA 14; and

(d) any other document required as specified **in the PDS**.

11.2 The Applicant shall furnish information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Application

12. Application Submission Letter

12.1 The Applicant shall complete an Application Submission Letter as provided in Section IV (Application Forms). This Letter must be completed without any alteration to its format.

13. Documents Establishing the Eligibility of the Applicant

13.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Letter and Forms ELI (eligibility) 1.1 and 1.2, included in Section IV (Application Forms).

14. Documents Establishing the Qualifications of the Applicant

14.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV (Application Forms).

14.2 Wherever an Application Form requires an Applicant to state a monetary amount, Applicants should indicate the USD equivalent using the rate of exchange determined as follows:

(a) For construction turnover or financial data required for each year - Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted).

(b) Value of single contract - Exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available source identified **in the PDS**. Any error in determining the exchange rates in the Application may be corrected by the Employer.

15. Signing of the Application and Number of Copies

15.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 11 and clearly mark it "ORIGINAL". The original of the Application shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV on behalf of the JV and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized signatories.

15.2 The Applicant shall submit copies of the signed original Application, in the number specified **in the PDS,** and clearly mark them "COPY". In the event of any discrepancy between the original and the copies, the original shall prevail.

D. Submission of Applications

16. Sealing and Marking of Applications

16.1 The Applicant shall enclose the original and the copies of the Application in a sealed envelope that shall:

(a) bear the name and address of the Applicant;

(b) be addressed to the Employer, in accordance with ITA 17.1; and

(c) bear the specific identification of this prequalification process indicated **in the PDS** 1.1.

16.2 The Employer will accept no responsibility for not processing any envelope that was not identified as required in ITA 16.1 above.

17. Deadline for Submission of Applications

17.1 Applicants may either submit their Applications by mail or by hand. Applications shall be received by the Employer at the address and no later than the deadline indicated **in the PDS.** When so specified **in the PDS,** Applicants have the option of submitting their Applications electronically, in accordance with electronic Application submission procedures specified **in the PDS.**

17.2 The Employer may, at its discretion, extend the deadline for the submission of Applications by amending the Prequalification

Document in accordance with ITA 8, in which case all rights and obligations of the Employer and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

18. Late Applications

18.1 The Employer reserves the right to accept applications received after the deadline for submission of applications, unless otherwise specified **in the PDS**.

19. Opening of Applications

19.1 The Employer shall open all Applications at the date, time and place specified **in the PDS**. Late Applications shall be treated in accordance with ITA 18.1.

19.2 Applications submitted electronically (if permitted pursuant to ITA 17.1) shall be opened in accordance with the procedures specified **in the PDS.**

19.3 The Employer shall prepare a record of the opening of Applications to include, as a minimum, the name of the Applicants. A copy of the record shall be distributed to all Applicants.

E. Procedures for Evaluation of Applications

20. Confidentiality

20.1 Information relating to the Applications, their evaluation and results of the prequalification shall not be disclosed to Applicants or any other persons not officially concerned with the prequalification process until the notification of prequalification results is made to all Applicants in accordance with ITA 28.

20.2 From the deadline for submission of Applications to the time of notification of the results of the prequalification in accordance with ITA 28. any Applicant that wishes to contact the Employer on any matter related to the prequalification process may do so only in writing.

21. Clarification of Applications

21.1 To assist in the evaluation of Applications, the Employer may, at its discretion, ask an Applicant for a clarification (including missing documents) of its Application, to be submitted within a stated reasonable period of time. Any request for clarification from the Employer and all clarifications from the Applicant shall be in writing.

21.2 If an Applicant does not provide clarifications and/or documents requested by the date and time set in the Employer's request for clarification, its Application shall be evaluated based on the information and documents available at the time of

evaluation of the Application.

22. Responsiveness of Applications

22.1 The Employer may reject any Application which is not responsive to the requirements of the Prequalification Document. In case the information furnished by the Applicant is incomplete or otherwise requires clarification as per ITA 21.1, and the Applicant fails to provide satisfactory clarification and/or missing information, it may result in disqualification of the Applicant.

23. Margin of Preference

23.1 Unless otherwise specified **in the PDS,** a margin of preference for domestic bidders[2] shall not apply in the bidding process resulting from this prequalification.

24. Subcontractors

24.1 Unless otherwise stated **in the PDS,** the Employer does not intend to execute any specific elements of the Works by sub-contractors selected in advance by the Employer (so-called "Nominated Subcontractors")**.**

24.2 The Applicant shall not propose to subcontract the whole of the Works. The Employer, in ITA 25.2, may permit the Applicant to propose subcontractors for certain specialized parts of the work as indicated therein as ("Specialized Subcontractors"). Applicants planning to use such Specialized Subcontractors shall specify, in the Application Submission Letter, the activity(ies) or parts of the Works proposed to be subcontracted along with details of the proposed subcontractors including their qualification and experience.

F. Evaluation of Applications and Prequalification of Applicants

25. Evaluation of Applications

25.1 The Employer shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. The Employer reserves the right to waive minor deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the Contract.

[2] An individual firm is considered a domestic Bidder for purposes of the margin of preference if it is registered in the country of the Employer, has more than 50 percent ownership by nationals of the country of the Employer, and if it does not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign contractors. JVs are considered as domestic Bidders and eligible for domestic preference only if the individual member firms are registered in the country of the Employer, have more than 50 percent ownership by nationals of the country of the Employer, and the JV shall be registered in the country of the Borrower. The JV shall not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign firms. JVs between foreign and national firms will not be eligible for domestic preference.

25.2 Subcontractors proposed by the Applicant shall be fully qualified for their parts of the Works. The subcontractor's qualifications shall not be used by the Applicant to qualify for the Works unless their parts of the Works were previously designated by the Employer **in the PDS** as can be met by Specialized Subcontractors, in which case, the qualifications of the Specialized Subcontractor proposed by the Applicant may be added to the qualifications of the Applicant for the purpose of the evaluation.

25.3 In case of multiple contracts, Applicants should indicate in their Applications the individual contract or combination of contracts in which they are interested. The Employer shall prequalify each Applicant for the maximum combination of contracts for which the Applicant has thereby indicated its interest and for which the Applicant meets the appropriate aggregate requirements. The qualification criteria and requirements are specified in Section III.

25.4 However, with respect to the specific experience under item Section III (Qualification Criteria and Requirements), 4.2 (a) , the Employer will select any one or more of the options as identified below:

N is the minimum number of contracts

V is the minimum value of a single contract.

(a) Prequalification for one Contract:

Option 1: (i) N contracts, each of minimum value V;

Or

Option 2: (i) N contracts, each of minimum value V,

Or

(ii) Less than or equal to N contracts, each of minimum value V, but with total value of all contracts equal or more than N x V

(b) Prequalification for Multiple Contracts

Option 1: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum

value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum value V3; ----etc.

Or

Option 2: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum value V3; ----etc,

Or

(ii) **Lot 1:** N1 contracts, each of minimum value V1; or number of contracts less than or equal to N1, each of minimum value V1, but with total value of all contracts equal or more than N1 x V1

Lot 2: N2 contracts, each of minimum value V2; or number of contracts less than or equal to N2, each of minimum value V2, but with total value of all contracts equal or more than N2 x V2

Lot 3: N3 contracts, each of minimum value V3; or number of contracts less than or equal to N3, each of minimum value V3, but with total value of all contracts equal or more than N3 x V3 ----etc.

Or

Option 3: (i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Applicant has applied for as follows, and N1, N2 ,N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum

value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum value V3; ----etc,

Or

(ii) **Lot 1:** N1 contracts, each of minimum value V1; or number of contracts less than or equal to N1, each of minimum value V1, but with total value of all contracts equal or more than N1 x V1

Lot 2: N2 contracts, each of minimum value V2; or number of contracts less than or equal to N2, each of minimum value V2, but with total value of all contracts equal or more than N2 x V2

Lot 3: N3 contracts, each of minimum value V3; or number of contracts less than or equal to N3, each of minimum value V3, but with total value of all contracts equal or more than N3 x V3 ----etc,

Or

(iii) Subject to compliance as per (ii) above with respect to minimum value of single contract for each lot, total number of contracts is equal or less than N1 + N2 + N3 +--but the total value of all such contracts is equal or more than N1 x V1 + N2 x V2 + N3 x V3 +---.

25.5 Only the qualifications of the Applicant shall be considered. The qualifications of other firms, including the Applicant's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors in accordance with ITA 25.2 above) or any other firm(s) different from the Applicant shall not be considered.

26. Employer's Right to Accept or Reject Applications

26.1 The Employer reserves the right to accept or reject any Application, and to annul the prequalification process and reject all Applications at any time, without thereby incurring any liability to the Applicants.

27. Prequalification of Applicants

27.1 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be prequalified by

the Employer.

27.2 An Applicant may be "conditionally prequalified," that is, qualified subject to the Applicant submitting or correcting certain specified nonmaterial documents or deficiencies to the satisfaction of the Employer.

27.3 Applicants that are conditionally prequalified will be so informed along with the statement of the condition(s) which must be met to the satisfaction of the Employer before or at the time of submitting their Bids.

28. Notification of Prequalification

28.1 The Employer shall notify all Applicants in writing of the names of those Applicants who have been prequalified or conditionally prequalified. In addition, those Applicants who have been disqualified will be informed separately.

28.2 Applicants that have not been prequalified may write to the Employer to request, in writing, the grounds on which they were disqualified.

29. Request for Bids

29.1 Promptly after the notification of the results of the prequalification, the Employer shall invite Bids from all the Applicants that have been prequalified or conditionally prequalified. The Bids evaluation criteria may incorporate other aspects as specified **in the PDS.**

29.2 Bidders may be required to provide a Bid Security or a Bid-Securing Declaration acceptable to the Employer in the form and an amount to be specified in the bidding document.

29.3 The successful Bidder shall be required to provide a Performance Security as specified in the bidding document.

29.4 If applicable, the successful Bidder shall be required to provide a separate Environmental and Social (ES) Performance Security.

29.5 Bidders shall be required to provide a Code of Conduct which will apply to their and sub-contractors' personnel that includes the minimum requirements specified in the bidding document.

29.6 Bidders shall be required to submit management strategies and implementation plans that address key Environmental and Social (ES) risks (including Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH)) requirements.

29.7 The successful Bidder shall provide additional information about its beneficial ownership using the Beneficial Ownership Disclosure Form included in the bidding document.

29.8 If specified in the PDS, Bidders shall be required to submit a Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Declaration, using the Sexual Exploitation and Abuse (SEA), and/or Sexual Harassment (SH) declaration form included in the bidding documents.

29.9 Prior to Contract award, the Employer will verify that the successful Bidder (including each member of a JV) is not disqualified by the Bank due to noncompliance with contractual SEA/SH prevention and response obligations. The Employer will conduct the same verification for each subcontractor proposed by the successful Bidder. If any proposed subcontractor does not meet the requirement, the Employer will require the Bidder to propose a replacement subcontractor.

30. Changes in Qualifications of Applicants

30.1 Any change in the structure or formation of an Applicant after being prequalified in accordance with ITA 27 and invited to bid (including, in the case of a JV, any change in the structure or formation of any member and also including any change in any specialized subcontractor whose qualifications were considered to prequalify the Applicant) shall be subject to the written approval of the Employer prior to the deadline for submission of Bids. Such approval shall be denied if (i) a prequalified applicant proposes to associate with a disqualified applicant or in case of a disqualified joint venture, any of its members; (ii) as a consequence of the change, the Applicant no longer substantially meets the qualification criteria set forth in Section III (Qualification Criteria and Requirements); or (iii) in the opinion of the Employer, the change may result in a substantial reduction in competition. Any such change should be submitted to the Employer not later than fourteen (14) days after the date of the Request for Bids.

31. Procurement Related Complaint

31.1 The procedures for making a Procurement-related Complaint are as specified in the PDS.

Section II - Prequalification Data Sheet (PDS)

A. General	
ITA 1.1	The identification of the Invitation for Prequalification is: **LS-MOPWT-RD-509588-CW-RFB** The Employer is: **The Ministry of Public Works and Transport, Roads Directorate, P.O. Box 194, MASERU 100, Lesotho. Represented by Teboho Mokhoane – Director General.** The list of contracts is: **Upgrading to Bitumen Standard the Thaba-Tseka to Katse Road Project (+/- 55 Km) In the Thaba Tseka District** RFB name and number are: **Upgrading to Bitumen Standard the Thaba-Tseka to Katse Road Project (+/- 55 Km) In the Thaba Tseka District and LS-MOPWT-RD-509588-CW-RFB**
ITA 2.1	The Borrower is: **The Government of the Kingdom of Lesotho**, which is implementing the project through the **Ministry of Public Works and Transport - Roads Directorate.**
ITA 2.1	Loan or Financing Agreement amount: ***US$120,000,000.00***
ITA 2.1	The name of the Project is: **Upgrading to Bitumen Standard the Thaba-Tseka to Katse Road Project (+/- 55 Km) In the Thaba Tseka District**
ITA 4.2	Maximum number of members in the JV shall be: **Three (3)**
ITA 4.7	A list of debarred firms and individuals is available on the Bank's external website: http://www.worldbank.org/debarr.
B. Contents of the Prequalification Document	
ITA 7.1	For **clarification purposes,** the Employer's address is: Attention: Mr. Ntaba Manoeli – Procurement Specialist, Ground Floor (Room G11) Address: Roads Directorate, Corner Senate and Lepoqo Street Industrial Area (Opposite Browns Wholesalers) Procurement Section, Ground Floor (Room G11)

	City: Maseru Country: Lesotho Telephone: +266 22229000/52229000 Electronic mail address: manoelin@rd.org.ls copy to: mokhoanete@rd.org.ls; kikinesk@rd.org.ls; marek@rd.org.ls; ntserel@rd.org.ls
ITA 7.1 & 8.2	Web page: www.rd.org.ls
ITA 7.2	Pre-Application Meeting will be held to the prequalified applicants
C. Preparation of Applications	
ITA 10.1	This Prequalification document has been issued in the English language. All correspondence exchange shall be in English language. The Application as well as all correspondence shall be submitted in English. Language for translation of supporting documents and printed literature is English.
ITA 11.1 (d)	The Applicant shall submit, with its Application, the following additional documents: Not Applicable
ITA 14.2	The source for determining exchange rates is The Central Bank of Lesotho of the day of submission
ITA 15.2	In addition to the original, the number of copies to be submitted with the Application is: Three (3) hard copies and One (1) scanned/digital copy in PDF format, provided in a clearly labelled Flash Drive. In the event of any discrepancy between the original, the copies, and the digital version, the original hard copy shall prevail.
D. Submission of Applications	
ITA 17.1	**The deadline for Application submission is:** Date: **13th November 2025** Time: **10:00 am local time** For **Application submission purposes only,** the Employer's address is: Attention: Mr. Ntaba Manoeli – Procurement Specialist, Ground Floor (Room G11) Address:

	Roads Directorate, Corner Senate and Lepoqo Street Industrial Area (Opposite Browns Wholesalers) Procurement Section, Ground Floor (Room G11) City: Maseru Country: Lesotho Telephone: +266 22229000/52229000 Electronic mail address: manoelin@rd.org.ls copy to: mokhoanete@rd.org.ls; kikinesk@rd.org.ls; marek@rd.org.ls; ntserel@rd.org.ls; mahiritonaf@rd.org.ls Applicants **shall not** have the option of submitting their Applications electronically.
ITA 18.1	Late Applications will be returned unopened to the Applicants.
ITA 19.1	The opening of the Applications shall be at Date and time: **13th November 2025, 10:30am Local Time** Address: Roads Directorate, Corner Senate and Lepoqo Street Industrial Area (Opposite Browns Wholesalers) Procurement Section, Ground Floor (Room G11) City: Maseru Country: Lesotho
ITA 19.2	The electronic Application opening procedures shall be: Not Applicable
E. Procedures for Evaluation of Applications	
ITA 23.1	A margin of domestic preference *"shall not"* apply
ITA 24.1	At this time the Employer does not intend to execute certain specific parts of the Works by sub-contractors selected in advance.
ITA 25.2	The parts of the Works for which the Employer permits Applicants to propose Specialized Subcontractors are designated as follows: a. N/A For the above-designated parts of the Works that may require Specialized

	Subcontractors, the relevant qualifications of the proposed Specialized Subcontractors will be added to the qualifications of the Applicant for the purpose of evaluation.
ITA 29.1	At the bidding stage, in addition to bid prices, Rated Criteria evaluation method will also be applied to identify the Most Advantageous Bid (MAB). The weighing between technical and financial proposals would be 50:50. There will be a requirement to allocate 30% of the labour cost to local labour. The following main technical criteria may be part of rated criteria evaluation factors at the bidding stage: 1. Comprehensiveness, completeness and quality of the Method statement and construction workplan, quality enhancement plan…...exceeding generic approaches. 2. Resources (key equipment, key personnel, material and financial resources) availability and levels exceeding the minimum requirement with detail and realistic Contract Management plan and/risk management plan. 3. Quality and appropriateness of Contractor's Environmental and social, sustainability plan (local job creation, emission reduction supply chain and climate resilient program/practice, traffic management plan…), beyond standard approaches 4. Proposed application of innovative construction technologies, including value engineering proposals that reduce both horizontal and vertical costs to the project, increase quality, shorten construction period, use of ecolabled materials and reduce LCC.
ITA 29.8	The Employer intends to require Bidders to submit Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH) Declaration.
ITA 31.1	The procedures for making a Procurement-related Complaint are detailed in the "Procurement Regulations for IPF Borrowers (Annex III)." If an Applicant wishes to make a Procurement-related Complaint, the Applicant shall submit its complaint following these procedures, in writing (by the quickest means available, that is either by email or fax), to: **For the attention**: Mr. Ntaba Manoeli **Title/position**: Procurement Specialist **Employer**: The Ministry of Public Works and Transport, Roads Directorate **Email address**: manoelin@rd.org.ls copy to: mokhoanete@rd.org.ls; kikinesk@rd.org.ls; ntserel@rd.org.ls; mahiritonaf@rd.org.ls; marek@rd.org.ls In summary, at this stage, a Procurement-related Complaint may challenge any of the following:

	1. the terms of the Prequalification Documents; and ***2.*** the Employer's decision not to prequalify an Applicant.

Section III - Qualification Criteria and Requirements

This section contains all the methods, criteria, and requirements that the Employer shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

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2. Historical Contract Non-Performance **30**
3. Financial Situation and Performance **32**
4. Experience **33**

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	
1. Eligibility							
1.1	**Nationality**	Nationality in accordance with ITA 4.5	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments
1.2	**Conflict of Interest**	No conflicts of interest in accordance with ITA 4.6	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter
1.3	**Bank Eligibility**	Not having been declared ineligible by the Bank, as described in ITA 4.7 and 5.1	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter
1.4	**State-owned Entity of the Borrower Country**	Applicant required to meet conditions of ITA 4.8	Must meet requirement	Must meet requirement	Must meet requirement	N / A	Forms ELI - 1.1 and 1.2, with attachments
1.5	**United Nations resolution or Borrower's country law**	Not having been excluded as a result of prohibition in the Borrower's country laws or official regulations against commercial relations with the Applicant's country, or by an act of compliance with UN Security Council resolution, both in accordance with ITA 5.1 and 5.2 and Section V.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments
2. Historical Contract Non-Performance							
2.1	**History of Non-Performing**	Non-performance of a contract[1] did not occur as a result of contractor's default	Must meet requirement[1]	Must meet requirements	Must meet requirement[2]	N/A	Form CON-2

[1] Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

[2] This requirement also applies to contracts executed by the Applicant as JV member.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	
	Contracts	since 1st January 2021.					
2.2	**Suspension Based on Execution of Bid/Proposal Securing Declaration by the Employer**	Not under suspension based on execution of a Bid/Proposal Securing Declaration pursuant to ITA 4.9.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Letter
2.3	**Pending Litigation**	Applicant's financial position and prospective long term profitability still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Applicant	Must meet requirement	N/A	Must meet requirement	N/A	Form CON – 2
2.4	**Litigation History**	No consistent history of court/arbitral award decisions against the Applicant[3] since 1st January 2021.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2
2.5	**Declaration: Environmental, and Social (ES) past performance**	Declare any civil work contracts that have been suspended or terminated and/or performance security called by an employer for reasons related to the non-compliance of any environmental, or social (including Sexual Exploitation and Abuse) contractual obligations in the past five years[4.]	Must make the declaration. Where there are Specialized Sub-contractor/s, the Specialized Sub-contractor/s must also make the declaration	N/A	Each must make the declaration. Where there are Specialized Sub-contractor/s, the Specialized Sub-	N/A	Form CON-3 ES Performance Declaration

[3] The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant or any member of a joint venture may result in rejection of the Application.

[4] The Employer may use this information to seek further information or clarifications during the bidding stage and the associated due diligence.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	
					contractor/s must also make the declaration.		
2.6	**Bank's SEA and/or SH Disqualification**	(a) At the time of Contract Award, not subject to disqualification by the Bank for non-compliance with SEA/ SH obligations (b) If the Applicant had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations, the Applicant shall either (i) provide evidence of an arbitral award on the disqualification made in its favour; or (ii) demonstrate that it has adequate capacity and commitment to comply with SEA/SH prevention and response obligations; or (iii) provide evidence that it has already demonstrated such capacity and commitment for another Bank financed works contract	Must meet requirement (including each subcontractor)	N/A	Must meet requirement (including each subcontractor proposed by the Applicant)	N/A	Application Submission Letter, Form CON-4
3. Financial Situation and Performance							
3.1	**Financial Capabilities**	(i) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow requirements estimated as USD $6 (six) million for the subject contract(s) net of the Applicants other commitments	Must meet requirement Must meet	Must meet requirement Must meet	N/A N/A	N/A N/A	Form FIN – 3.1, with attachments

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	**Subject**	**Requirement**	**Single Entity**	**All Members Combined**	**Each Member**	**One Member**	
		(ii) The Applicant shall also demonstrate, to the satisfaction of the Employer, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments. (iii) The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements acceptable to the Employer, for the last 5years shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability.	requirement Must meet requirement	requirement N/A	Must meet requirement	N/A	
3.2	**Average Annual Construction Turnover**	Minimum average annual construction turnover of US$ 50 million (Fifty Million United States Dollars), calculated as total certified payments received for contracts in progress and/or completed within the last five (5) years, divided by five (5) years	Must meet requirement	Must meet requirement	Must meet 50% (fifty Percent) of the requirement	Must meet at least 25%, (twenty Percent) of the requirement	Form FIN – 3.2
4. Experience							
4.1 (a)	**General Construction Experience**	Experience under construction contracts in the role of prime contractor, JV member, subcontractor, or management contractor for at least the last ten (10) years, starting 1st January 2015.	Must meet requirement	N/A	Must meet requirement	N/A	Form EXP – 4.1
4.2 (a)	**Specific Construction &**	(i) A minimum number of three (3) similar contracts specified below that have been	Must meet requirement	Must meet requirement[9]	N/A	Must meet the following	Form EXP 4.2(a)

[9] In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	**Subject**	**Requirement**	**Single Entity**	**All Members Combined**	**Each Member**	**One Member**	
	Contract Management Experience	satisfactorily and substantially[5] completed as a prime contractor, joint venture member [6], management contractor or subcontractor between 1st January 2018 and Application submission deadline: *(i) N contracts, each of minimum value V;* N = 3 V = US$ 25 million (ii) less than or equal to 3 contracts, each of minimum value of US$25 million, but with the total value of all contracts equal or more than US$75 million . The similarity of the contracts shall be based on the following: 1. Construction or rehabilitation of paved roads with a **minimum asphalt surfacing** 2. Execution of substantial **earthworks** including cut and fill operations 3. **Crushed stone base (G1 or equivalent)** 4. At least **of subbase (G2 or equivalent)** 5. At least **of selected subgrade layers (G7/G9 or equivalent)** 6. At least **minor culverts**[7]				requirements for the key activities listed below 1. Construction or rehabilitation of paved roads with a **minimum asphalt surfacing** 2. Execution of substantial including cut and fill operations 3. **Crushed stone base (G1 or equivalent)** 4. **Subbase (G2 or equivalent**	

than the minimum value required shall be aggregated.

[5] Substantial completion shall be based on 80% or more works completed under the contract.

[6] For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, and role and responsibilities shall be considered to meet this requirement.

[7] The number of this key activity can be demonstrated in one or more contracts combined if executed during same time period.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	**Subject**	**Requirement**	**Single Entity**	**All Members Combined**	**Each Member**	**One Member**	
		7. At least **major culverts**[8] 8. At least **bridges** 9. Implementation of **quality assurance and control systems**, including materials testing and compliance with international (e.g. ASTM, AASHTO) or national standards Experience in managing projects under **FIDIC-based contracts**				) 5. **Selected subgrade layers (G7/G9 or equivalent)** 6. **minor culverts**[7] 7. **major culverts**[8] 8. **Bridges** [9] 9. Implementation of **quality assurance and control systems**, including materials testing and compliance with international (e.g. ASTM, AASHTO) or national standards 10. Experience	

[8] The number of this key activity can be demonstrated in one or more contracts combined if executed during same time period.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	**Subject**	**Requirement**	**Single Entity**	**All Members Combined**	**Each Member**	**One Member**	
						in managing projects under **FIDIC-based contracts**	
4.2 (b)		For the above and any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or sub-contractor between 1st January 2018 and Application submission deadline, a minimum construction experience in the following key activities successfully completed[10]: The rate of production shall be on the basis of either the average during the entire specified period **OR** the rate of annual production in any 12-month period in the specified period**,** 1. Asphalt Surfacing 2. Crushed Stone Base (G1 or equivalent) 3. Subbase Construction (G2 or equivalent) 4. Selected Subgrade Layers (G7/G9 or equivalent) 5. Mass Earthworks	Must meet requirements	Must meet requirements	N/A	Must meet the following requirements for key activities listed below 1. Asphalt Surfacing (40mm thick or equivalent): 2. Crushed Stone Base (G1 or equivalent): 3. Subbase Constructio n (G2 or equivalent): 4. Selected Subgrade Layers (G7/G9 or equivalent):	Form EXP – 4.2 (b)

[10] Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	**Subject**	**Requirement**	**Single Entity**	**All Members Combined**	**Each Member**	**One Member**	
						5. Mass Earthworks:	
4.2 (c)	**Specific Experience in managing ES aspects**	For the contracts in 4.2 (a) above and/or any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or Subcontractor between 1st January 2018 and Application submission deadline, experience in managing ES risks and impacts in the following aspects: 1. Implementation of World Bank Environmental and Social Standards (ESS, 2018) 2. Implementation of Environmental Management System (ISO 14001:2015) 3. Implementation of Health and Safety Management System (ISO 45000) 4. Implementation of Grievance Management System 5. Implementation of Environmental and Social Management Plan (ESMP) for a 50 km+ road upgrading to bitumen standard 6. At least ten (10) years of experience in environmental, social, health and safety management in construction or infrastructure development.	Must meet requirements	Must meet requirement 100% (Hundred percent)	Must meet the following requirements: 70% (Seventy percent)	Must meet the following requirements: 1. Implementation of World Bank Environmental and Social Standards (ESS, 2018) 2. Implementation of Environmental Management System (ISO 14001:2015) 3. Implementation of Health and Safety Management System (ISO 45000)	Form EXP – 4.2 (c)

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	
						4. Implementation of Grievance Management System 5. Implementation of Environmental and Social Management Plan (ESMP) for a 50 km+ road upgrading to bitumen standard 6. At least ten (10) years of experience in environmental, social, health and safety management in construction or infrastruct	

Eligibility and Qualification Criteria			Compliance Requirements				Document/ Form
				Joint Venture (existing or intended)			Submission Requirement
No.	Subject	Requirement	Single Entity	All Members Combined	Each Member	One Member	
						ure development.	

Section IV - Application Forms

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Application Submission Letter

Date: *[insert day, month, and year]*
PQD No. and title: *[insert PQD number and title]*

To: *[insert full name of Employer]*

We, the undersigned, apply to be prequalified for the referenced PQD and declare that:

(a) **No reservations:** We have examined and have no reservations to the Prequalification Document, including Addendum(s) No(s), issued in accordance with ITA 8: *[insert the number and issuing date of each addendum].*

(b) **No conflict of interest:** We have no conflict of interest in accordance with ITA 4;

(c) **Eligibility:** We (and our subcontractors) meet the eligibility requirements as stated ITA 4, we have not been suspended by the Employer based on execution of a Bid/Proposal-Securing Declaration in accordance with ITA 4.9;

(d) **Suspension and Debarment**: We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Employer's country laws or official regulations or pursuant to a decision of the United Nations Security Council;

(e) **Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment (SH):** [*select the appropriate option from (i) to (v) below and delete the others*].

We *[where JV, insert:* "including any of our JV members"*]*, and any of our subcontractors:

(i) [have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]

(ii) [are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations.]

(iii) [had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations. An arbitral award on the disqualification case has been made in our favor.]

(iv) [had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have subsequently provided and demonstrated that we have adequate capacity and commitment to comply with SEA and SH prevention and response obligations.]

(v) [had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have attached documents demonstrating that we have adequate capacity and commitment to comply with SEA and SH prevention and response obligations.]

(f) **State-owned enterprise or institution:** [*select the appropriate option and delete the other*] [*We are not a state-owned enterprise or institution*] / [*We are a state-owned enterprise or institution but meet the requirements of ITA 4.8*];

(g) Subcontractors and **Specialized Subcontractors:** We, in accordance with ITA 24.2 and 25.2, plan to subcontract the following key activities and/or parts of the works:

[Insert any of the key activities identified in Section III - 4.2(a) or (b) which the Employer has permitted under the Prequalification Document and which the Applicant intends to subcontract along with complete details of the Specialized Subcontractors, their qualification and experience]

(h) **Commissions,** gratuities**, fees:** We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the prequalification process, the corresponding bidding process or execution of the Contract:

Name of Recipient	Address	Reason	Amount
[insert full name for each occurrence]	*[insert street/ number/city/country]*	*[indicate reason]*	*[specify amount currency, value, exchange rate and US$ equivalent]*
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

[If no payments are made or promised, add the following statement: "No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Application]

(i) **Not bound to accept:** We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for the contract subject of this Prequalification process, without incurring any liability to the Applicants, in accordance with ITA 26.1.

(j) **True and correct:** All information, statements and description contained in the Application are in all respect true, correct and complete to the best of our knowledge and belief.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name [insert full name of person signing the Application]

In the capacity of *[insert capacity of person signing the Application]*

Duly authorized to sign the Application for and on behalf of:

Applicant's Name *[insert full name of Applicant or the name of the JV]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month], [insert year]*

[For a joint venture, either all members shall sign or only the authorized representative, in which case the power of attorney to sign on behalf of all members shall be attached]

Form ELI -1.1
Applicant Information Form

Date: *[insert day, month, year]*
PQD No. and title: *[insert PQD number and title]*
Page *[insert page number]* of *[insert total number]* pages

Applicant's name *[insert full name]*
In case of Joint Venture (JV), name of each member: *[insert full name of each member in JV]*
Applicant's actual or intended country of registration: *[indicate country of Constitution]*
Applicant's actual or intended year of incorporation: *[indicate year of Constitution]*
Applicant's legal address [in country of registration]: *[insert street/ number/ town or city/ country]*
Applicant's authorized representative information Name: *[insert full name]* Address: *[insert street/ number/ town or city/ country]* Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]* E-mail address: *[indicate e-mail address]*
1. Attached are copies of original documents of ☐ Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITA 4.5. ☐ In case of JV, letter of intent to form JV or JV agreement, in accordance with ITA 4.2. ☐ In case of state-owned enterprise or institution, in accordance with ITA 4.8 documents establishing: • Legal and financial autonomy • Operation under commercial law • Establishing that the Applicant is not under supervision of the Employer 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

Form ELI -1.2
Applicant's JV Information Form

[The following form is additional to Form ELI – 1.1., and shall be completed to provide information relating to each JV member (in case the Applicant is a JV) as well as any Specialized Subcontractor proposed to be used by the Applicant for any part of the Contract resulting from this prequalification]

Date: *[insert day, month, year]*
PQD No. and title: *[insert PQD number and title]*
Page *[insert page number]* of *[insert total number]* pages

Applicant name: *[insert full name]*
Applicant's JV Member's name: *[insert full name of Applicant's JV Member]*
Applicant's JV Member's country of registration: *[indicate country of registration]*
Applicant JV Member's year of constitution: *[indicate year of constitution]*
Applicant JV Member's legal address in country of constitution: *[insert street/ number/ town or city/ country]*
Applicant JV Member's authorized representative information Name: *[insert full name]* Address: *[insert street/ number/ town or city/ country]* Telephone/Fax numbers: *[insert telephone/fax numbers, including country and city codes]* E-mail address: *[indicate e-mail address]*
1. Attached are copies of original documents of ☐ Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITA 4.5. ☐ In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and they are not under the supervision of the Employer, in accordance with ITA 4.8. 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership.

Form CON – 2
Historical Contract Non-Performance, Pending Litigation and Litigation History

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member's Name: *[insert full name]*
PQD No. and title: *[insert PQD number and title]*
Page *[insert page number]* of *[insert total number]* pages

Non-Performed Contracts in accordance with Section III, Qualification Criteria and Requirements			
☐ Contract non-performance did not occur since 1st January *[insert year]* specified in Section III, Qualification Criteria and Requirements, Sub-Factor 2.1. ☐ Contract(s) not performed since 1st January *[insert year]* specified in Section III, Qualification Criteria and Requirements, requirement 2.1			
Year	**Non-performed portion of contract**	**Contract Identification**	**Total Contract Amount (current value, currency, exchange rate and US$ equivalent)**
[insert year]	*[insert amount and percentage]*	Contract Identification: *[indicate complete contract name/ number, and any other identification]* Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Reason(s) for nonperformance: *[indicate main reason(s)]*	*[insert amount]*
Pending Litigation, in accordance with Section III, Qualification Criteria and Requirements			
☐ No pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3. ☐ Pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.3 as indicated below.			

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
[insert year]	*[insert amount]*	Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Matter in dispute: *[indicate main issues in dispute]* Party who initiated the dispute: *[indicate "Employer" or "Contractor"]* Status of dispute:	*[insert amount]*
Litigation History in accordance with Section III, Qualification Criteria and Requirements			
☐ No Litigation History in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.4. ☐ Litigation History in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.4 as indicated below.			
Year of award	**Outcome as percentage of Net Worth**	**Contract Identification**	**Total Contract Amount (currency), USD Equivalent (exchange rate)**
[insert year]	*[insert percentage]*	Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Matter in dispute: *[indicate main issues in dispute]* Party who initiated the dispute: *[indicate "Employer" or "Contractor"]* Reason(s) for Litigation and award decision *[indicate main reason(s)]*	*[insert amount]*

Form CON – 3
ES Performance Declaration

[The following table shall be filled in for the Applicant, each member of a Joint Venture and each Specialized Subcontractor]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member's or Specialized Subcontractor's Name: *[insert full name]*
PQD No. and title: *[insert PQD number and title]*
Page *[insert page number]* of *[insert total number]* pages

Environmental and Performance Declaration in accordance with Section III, Qualification Criteria, and Requirements			
☐ **No suspension or termination of contract**: An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental or Social, (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5. ☐ **Declaration of suspension or termination of contract**: The following contract(s) has/have been suspended or terminated and/or Performance Security called by an employer(s) for reasons related to Environmental or Social, (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5. Details are described below:			
Year	**Suspended or terminated portion of contract**	**Contract Identification**	**Total Contract Amount (current value, currency, exchange rate and US$ equivalent)**
[insert year]	*[insert amount and percentage]*	Contract Identification: *[indicate complete contract name/ number, and any other identification]* Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Reason(s) for suspension or termination: *[indicate main reason(s) e.g. gender based violence; sexual exploitation or sexual abuse breaches]*	*[insert amount]*
[insert year]	*[insert amount and percentage]*	Contract Identification: *[indicate complete contract name/ number, and any other identification]* Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Reason(s) for suspension or termination: *[indicate*	*[insert amount]*

		main reason(s)]	
...	...	*[list all applicable contracts]*	...
Performance Security called by an employer(s) for reasons related to ES performance			
Year	Contract Identification		Total Contract Amount (current value, currency, exchange rate and US$ equivalent)
[insert year]	Contract Identification: *[indicate complete contract name/ number, and any other identification]* Name of Employer: *[insert full name]* Address of Employer: *[insert street/city/country]* Reason(s) for calling of performance security: *[indicate main reason(s) e.g. gender-based violence; sexual exploitation or sexual abuse breaches]*		*[insert amount]*

Form CON – 4
Sexual Exploitation and Abuse (SEA) and/or Sexual Harassment Performance Declaration

[The following table shall be filled in by the Applicant, each member of a Joint Venture and each subcontractor proposed by the Applicant]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member's or Subcontractor's Name: *[insert full name]*
PQD No. and title: *[insert PQD number and title]*
Page *[insert page number]* of *[insert total number]* pages

SEA and/or SH Declaration **in accordance with Section III, Qualification Criteria, and Requirements**
We: ☐ (a) have not been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations ☐ (b) are subject to disqualification by the Bank for non-compliance with SEA/ SH obligations ☐ (c) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations An arbitral award on the disqualification case has been made in our favor. ☐ (d) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have subsequently demonstrated that we have adequate capacity and commitment to comply with SEA/ SH obligations. ☐ (e) had been subject to disqualification by the Bank for non-compliance with SEA/ SH obligations for a period of two years. We have attached evidence demonstrating that we have adequate capacity and commitment to comply with SEA/ SH obligations.
[*If (c) above is applicable, attach evidence of an arbitral award reversing the findings on the issues underlying the disqualification.]*
[If (d) or (e) above are applicable, provide the following information:]
Period of disqualification: From: _____ To: _____
If previously provided for another Bank financed works contract, details of evidence that demonstrated adequate capacity and commitment to comply with SEA/ SH obligations (**as per (d) above)** Name of Employer: _____ Name of Project: _____ Contract description: _____ Brief summary of evidence provided: _____

_____ Contact Information: (Tel, email, name of contact person): _____ _____
As an alternative to the evidence under (d), other evidence demonstrating adequate capacity and commitment to comply with SEA/ SH obligations (**as per (e) above)** *[attach details as appropriate]*.

Form FIN – 3.1
Financial Situation and Performance

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name: *[insert full name]*
PQD No. and title: *[insert PQD number and title]*
Page *[insert page number]* of *[insert total number]* pages

1. Financial data

Type of Financial information in (currency)	**Historic information for previous** *_[insert number] years, [insert in words]* **(amount in currency, currency, exchange rate*, USD equivalent)**				
	Year 1	Year 2	Year 3	Year4	Year 5
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					

* Refer ITA 14 for the exchange rate

2. Sources of Finance

[The following table shall be filled in for the Applicant and all parties combined in case of a Joint Venture]

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

No.	Source of finance	Amount (US$ equivalent)
1		
2		
3		

3. Financial documents

The Applicant and its parties shall provide copies of financial statements for *[number]* years pursuant Section III, Qualifications Criteria and Requirements, Sub-factor 3.1. The financial statements shall:

(a) reflect the financial situation of the Applicant or in case of JV member, and not an affiliated entity (such as parent company or group member).

(b) be independently audited or certified in accordance with local legislation.

(c) be complete, including all notes to the financial statements.

(d) correspond to accounting periods already completed and audited.

☐ Attached are copies of financial statements[1] for the *[number]* years required above; and complying with the requirements

[1] If the most recent set of financial statements is for a period earlier than 12 months from the date of Application, the reason for this should be justified.

Form FIN - 3.2
Average Annual Construction Turnover

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name: *[insert full name]*
PQD No. and title: *[insert PQD number and title]*
Page *[insert page number]* of *[insert total number]* pages

Annual turnover data (construction only)			
Year	**Amount** **Currency**	**Exchange rate***	**USD equivalent**
[indicate calendar year]	*[insert amount and indicate currency]*		
		Average Annual Construction Turnover **	

* Refer ITA 14 for date and source of exchange rate.

** Total USD equivalent for all years divided by the total number of years. See Section III, Qualification Criteria and Requirements, 3.2.

Form EXP - 4.1
General Construction Experience

[The following table shall be filled in for the Applicant and in the case of a JV Applicant, each Member]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name: *[insert full name]*
PQD No. and title: *[insert PQD number and title]*
Page *[insert page number]* of *[insert total number]* pages

[Identify contracts that demonstrate continuous construction work over the past [number] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 4.1.List contracts chronologically, according to their commencement (starting) dates.]

Starting Year	Ending Year	Contract Identification	Role of Applicant
[indicate year]	*[indicate year]*	Contract name: *[insert full name]* Brief Description of the Works performed by the Applicant: *[describe works performed briefly]* Amount of contract: *[insert amount in currency, mention currency used, exchange rate and US$ equivalent*]* Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]*	*[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]*
		Contract name: *[insert full name]* Brief Description of the Works performed by the Applicant: *[describe works performed briefly]* Amount of contract: *[insert amount in currency, mention currency used, exchange rate and US$ equivalent*]* Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]*	*[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]*
		Contract name: *[insert full name]* Brief Description of the Works performed by the Applicant: *[describe works performed briefly]* Amount of contract: *[insert amount in currency, mention currency used, exchange rate and US$ equivalent*]* Name of Employer: *[indicate full name]* Address: *[indicate street/number/town or city/country]*	*[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]*

* Refer ITA 14 for date and source of exchange rate.

Form EXP - 4.2(a)
Specific Construction and Contract Management Experience

[The following table shall be filled in for contracts performed by the Applicant, each member of a Joint Venture, and Specialized Sub-contractors]

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Joint Venture Member Name: *[insert full name]*
PQD No. and title: *[insert PQD number and title]*
Page *[insert page number]* of *[insert total number]* pages

Similar Contract No. *[insert number]* of *[insert number of similar contracts required]*	**Information**			
Contract Identification	*[insert contract name and number, if applicable]*			
Award date	*[insert day, month, year, e.g., 15 June, 2015]*			
Completion date	*[insert day, month, year, e.g., 03 October, 2017]*			
Role in Contract *[check the appropriate box]*	Prime Contractor ☐	Member in JV ☐	Management Contractor ☐	Sub-contractor ☐
Total Contract Amount	*[insert total contract amount in local currency]*		US$ *[insert Exchange rate and total contract amount in US$ equivalent]**	
If member in a JV or sub-contractor, specify share in value in total Contract amount and roles and responsibilities	*[insert a percentage amount]*	*[insert total contract amount in local currency]*	*[insert exchange rate and total contract amount in US$ equivalent]**	
	[insert roles and responsibilities]			
Employer's Name:	*[insert full name]*			
Address: Telephone/fax number E-mail:	*[indicate street / number / town or city / country]* *[insert telephone/fax numbers, including country and city area codes]* *[insert e-mail address, if available]*			

* Refer ITA 14 for date and source of exchange rate.

Form EXP - 4.2(a) (cont.)
Specific Construction and Contract Management Experience (cont.)

Similar Contract No. *[insert number]* of *[insert number of similar contracts required]*	**Information**
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:	
1. Amount	*[insert amount in local currency, exchange rate, US$ in words and in Figures]*
2. Physical size of required works items	*[insert physical size of items]*
3. Complexity	*[insert description of complexity]*
4. Methods/Technology 5. Construction rate for key activities	*[insert specific aspects of the methods/technology involved in the contract]* *[insert rates and items]*
6. Other Characteristics	*[insert other characteristics as described in Section VII, Scope of Works]*

Form EXP - 4.2(b)
Construction Experience in Key Activities

Applicant's Name: *[insert full name]*
Date: *[insert day, month, year]*
Applicant's JV Member's Name: *[insert full name]*
Sub-contractor's Name[2] (as per ITA 24.2 and 24.3): *[insert full name]*
PQD No. and title: *[insert PQD number and title]*

Page *[insert page number]* of *[insert total number]* pages

All Sub-contractors for key activities must complete the information in this form as per ITA 24.2 and 24.3 and Section III, Qualification Criteria and Requirements, 4.2.

1. Key Activity No. One: *[insert brief description of the Activity, emphasizing its specificity]*

Total Quantity of Activity under the contract: _____

	Information			
Contract Identification	*[insert contract name and number, if applicable]*			
Award date	*[insert day, month, year, e.g., 15 June, 2015]*			
Completion date	*[insert day, month, year, e.g., 03 October, 2017]*			
Role in Contract *[check the appropriate box]*	Prime Contractor ☐	Member in JV ☐	Management Contractor ☐	Sub-contractor ☐
Total Contract Amount	*[insert total contract amount in contract currency(ies)]*		US$ *[insert exchange rate and total contract amount in US$ equivalent]*	
Quantity (Volume, number or rate of production, as applicable) performed under the contract per year or part of the year *[Insert extent of participation indicating actual quantity of key activity successfully completed in the role performed]*	Total quantity in the contract (i)	Percentage participation (ii)		Actual Quantity Performed (i) x (ii)
Year 1				
Year 2				
Year 3				
Year 4				

[2] If applicable

Employer's Name:	*[insert full name]*
Address: Telephone/fax number E-mail:	*[indicate street / number / town or city / country]* *[insert telephone/fax numbers, including country and city area codes]* *[insert e-mail address, if available]*

2. Activity No. Two

3. ……

	Information
Description of the key activities in accordance with Sub-Factor 4.2(b) of Section III:	
	[insert response to inquiry indicated in left column]

Form EXP - 4.2(c)
Specific Experience in Managing ES aspects and any additional sustainable procurement aspects

[The following table shall be filled in for contracts performed by the Applicant, and each member of a Joint Venture]

Applicant's Name: _____
Date: _____
Applicant's JV Member Name: _____
PQD No. and title: _____
Page _____of _____pages

1. Key Requirement no 1 in accordance with 4.2 (c): _____

Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor ☐	Member in JV ☐	Management Contractor ☐	Subcontractor ☐
Total Contract Amount			US$	
Details of relevant experience				

2. Key Requirement no 2 in accordance with 4.2 (c): _____
3. Key Requirement no 3 in accordance with 4.2 (c): _____
4. …

Section V - Eligible Countries

Eligibility for the Provision of Goods, Works and Services in Bank-Financed Procurement

In reference to ITA 5.1 and 5.2, for the information of the Applicants, at the present time firms and individuals, supply of goods, or contracting of works or services, from the following countries are excluded from this prequalification process:

Under ITA 5.1 (a): None

Under ITA 5.1 (b): None

Section VI - Fraud and Corruption

(This Section VI shall not be modified)

1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

a. Defines, for the purposes of this provision, the terms set forth below as follows:

i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;

ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;

iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;

iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;

v. "obstructive practice" is:

(a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or

(b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.

b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;

c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;

d. Pursuant to the Bank's Anti-Corruption Guidelines, and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible: (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;[1] (ii) to be a nominated[2] sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;

e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect[3] all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

---

[1] For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

[2] A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

[3] Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

PART 2 – Works' Requirements

Section VII - Scope of Works

Contents

1. Description of the Works **70**
2. Construction Period **72**
3. Site and Other Data **73**
4. Environmental and Social (ES) Requirement and additional sustainable Procurement Aspects **84**

1. Description of the Works

1.1 Route Description

The initial development of the Southern Access Road, now known as the Katse to Thaba Tseka Road, was partly engineered while other sections were constructed by eye as the access road was only required by the Lesotho Highlands Water Project to facilitate the early start of infrastructure development in the Katse Area. The project road forms part of the North Central Connector which links Leribe and Thaba-Tseka as well as other districts of Lesotho and the Republic of South Africa. The road section is approximately 55km long and runs through the rural areas. The mountainous region through which the road section passes is to some extent suitable for farming. The project road starts at km 0.0 at the intersection of the surfaced A25 Road and the A8 gravel road near Katse and ends at the intersection the A3 and A8 and traverses the existing terrain initially in a general Easterly direction before turning South ending in Thaba Tseka.

The existing road is on average 7.0m wide and is a gravel road. The majority of the fills on the road were historically constructed from the excavated rock. Along the route cross drainage infrastructure comprises a combination of major and minor culverts. The selection of corrugated metal culverts for cross drainage as opposed to concrete pipes was mainly due to lack of access and the ease of transportation of metal culverts, as well as the focus on labour-intensive involvement during installation. The majority of the cross-drainage structures discharge into fields at various locations along the length of the project road and subsequently into the Lesotho Highlands Water Project. The construction of drainage channels from the road to discharge into the gullies/streams was implemented to avoid erosion of the adjacent fields where subsistence farming is heavily relied upon.

1.2 Detailed description of road works

In more detail the Works include but are not limited to the following:

- Establishment on site
- Cut to spoil and borrow to fill
- Roadbed preparation
- Importing of selected sub-grade layer
- Establishing a crusher plant and crushing base, concrete aggregates, crusher dust
- Construction of asphalt surfacing, base and sub-base.
- Removal of existing concrete pipes culverts
- Installation of new concrete culverts and providing cast in-situ slabs, wingwalls and headwalls: extending corrugated metal pipes
- Construction of bridges
- Construction of sub-surface drainage in cuttings, unlined and lined side drains.
- Construction of inlet and outlet structures to drainage culverts.
- Accommodation of traffic as detailed in the specifications
- Clearing and grubbing as required.
- Signposting and road traffic markings.

- Finishing of the road and road reserve.
- Maintenance of the Works.
- Quality control

The pavement structure can generally be classified as follows (subject to change):

Surfacing: 40mm Asphalt Surfacing
Base: 160mm G1 Base
Subbase: 185 mm G2 Subbase
Upper Selected Subgrade (Road bed prep): 150mm G7 Upper Selected
Lower Selected Subgrade (in-situ): 150mm G9 Lower Selected

1.3 Quantities

Indicative quantities for the major items of work are:

- Surfacing (40mm Asphalt Surfacing): 600,000 m^2
- Base (160mm G1 Base): 100,000 m^3
- Subbase: (185 mm G2 Subbase): 119,000 m^3
- Upper Selected Subgrade ("Road bed prep"- 150mm G7 Upper Selected): 117,000 m^3
- Lower Selected Subgrade ("in-situ"- 150mm G9 Lower Selected): 116,000 m^3
- Mass Earthworks: 1,200,000 m^3
- Number of Minor Culverts to be constructed/reconstructed: 127
- Number of Major Culverts to be constructed/reconstructed: 26
- Number of Bridges to be constructed/reconstructed: 9

2. Construction Period

The Construction of the road is scheduled to take 36 months to complete, inclusive of mobilization and all construction industry breaks and/or holiday.

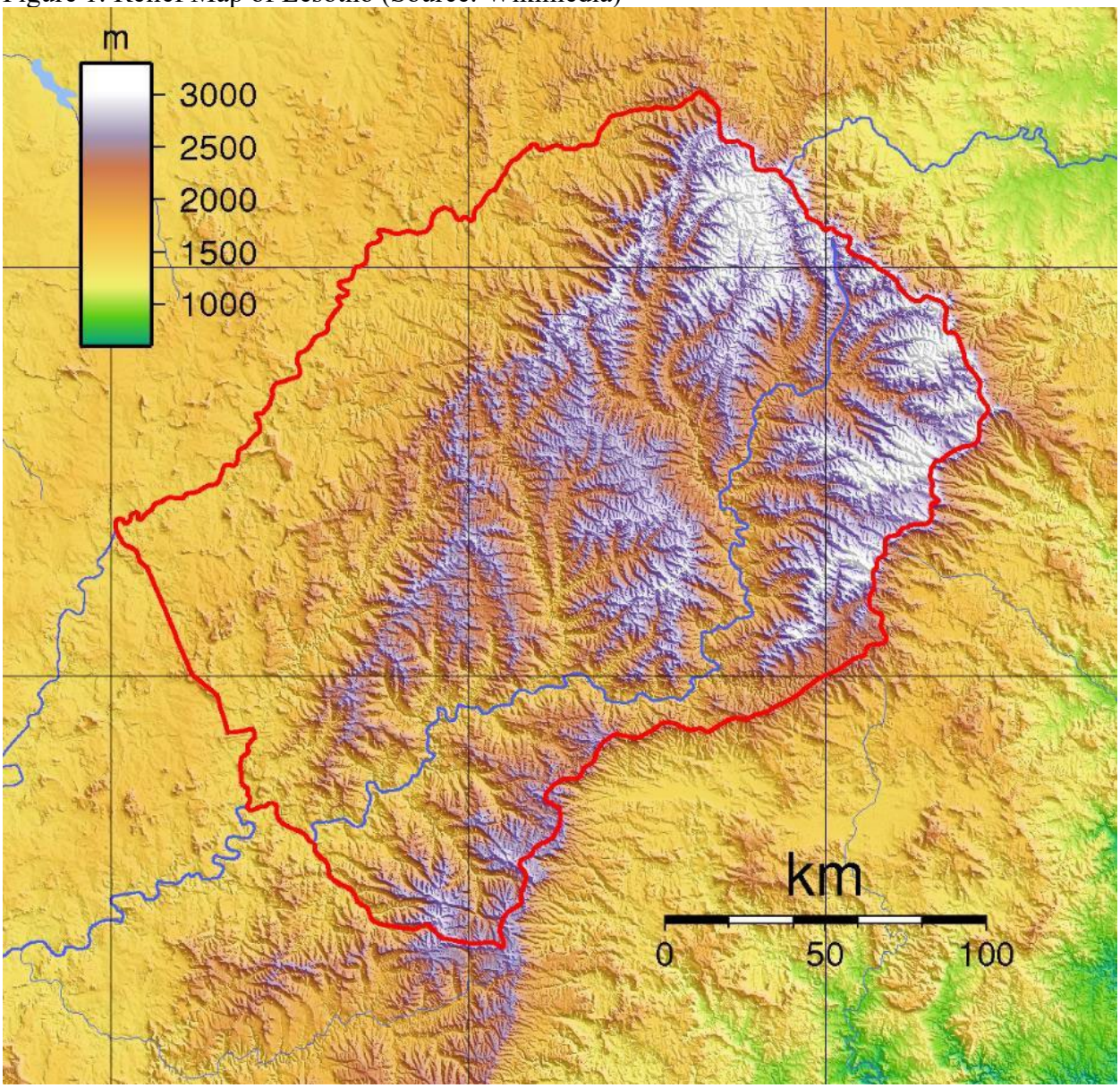
3. Site and Other Data

3.1 Site Physiography

3.1.1 Topography

Lesotho is widely known for being “the Mountain Kingdom” or the “Kingdom in the Sky”. This is due to the country’s position within and next to the Maloti and Drakensburg Mountain Ranges. The relief map of Lesotho, as shown in Figure 1, indicates that the central and eastern parts of Lesotho are at higher elevations than the western portion. The lowlands are still at a considerably high elevation of approximately 1500 to 1800m.

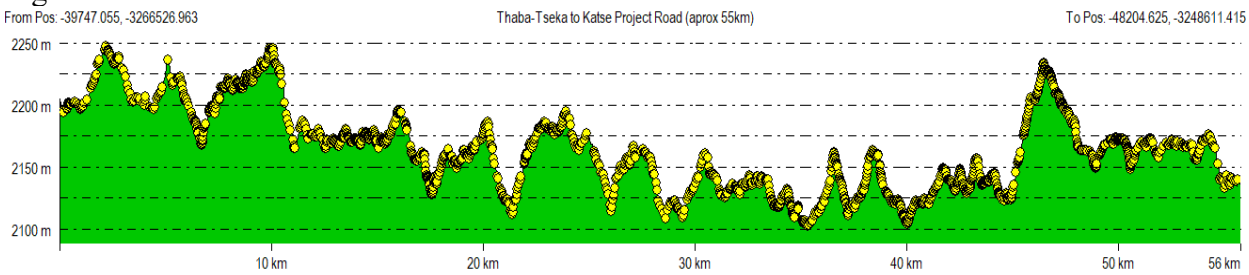
Figure 1: Relief Map of Lesotho (Source: Wikimedia)



3.1.2 Topography: Katse-Thaba Tseka Road

The road that is a target for upgrading under the current project (Katse-Thaba Tseka Road Upgrading project) is a 55 kilometre stretch of the A8 road that connects Katse Village to the Thaba Tseka District centre. Lying wholly in the latter district, the road meanders along the plains above the Malibamatṡo River channel, first in a west to easterly direction up to Machoaboleng (20 km), and then in a north-southerly direction at an altitude of between 2,200m and 2,250m. The start point is at the Makhoabeng Village near the Katse Dam, 29.35400 South and 28.50360 East, while the end point is at the Thaba Tseka Town, 29.51600 South and 28.59010 East. The average (weighted by length) elevation above Mean Sea Level (MSL) of the road from Katse -Thaba-Tseka is approximately 2200m. Figure 2 below indicates the altitude of Katse-Thaba Tseka Road.

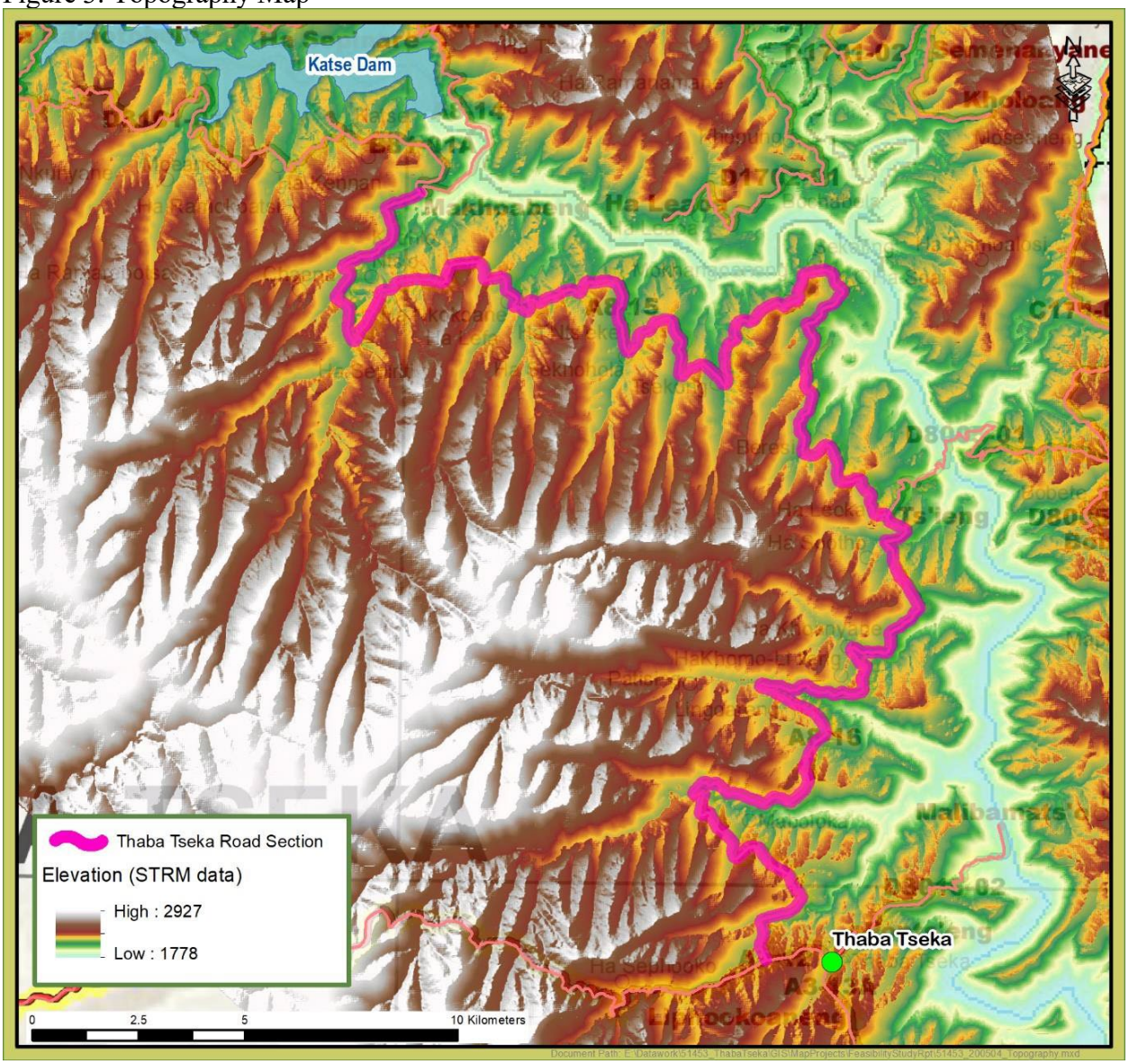
Figure 2: Katse – Thaba Tseka Road Altitude



The Katse-Thaba Tseka Road runs on the plains above the Malibamatṡo River. These plains form a narrow belt between the river gorge and the eastern escarpment of the Maloti Range (Central Range) as shown in Figure 3.

This strip of rolling terrain and hills is where most of the villages along the Katse to Thaba Tseka Road are located, and where most of the socio-economic activities, including agriculture, are confined within. The upper hills and remote mountains are mainly suitable for grazing. Katse is a major tourist attraction in Lesotho because of the second largest arch dam in Africa, Katse Dam is located here.

Figure 3: Topography Map



3.1.3 Climatic Information

The latitudinal position of Lesotho is within the subtropics zone which primarily determines the country's climate. In addition, the prevailing weather patterns form because of Lesotho's geographic location between the Indian and Atlantic Oceans (LMS, 2000). The climate of Lesotho is classified as temperate continental and has four distinct seasons of summer, autumn, winter and spring (Water Commission Report, 2007). Winters (May to July) are typically characterised by low weather activities as a result of the strengthened high-pressure systems, displaying warm daytime and low night-time temperatures, dry air and minimal precipitation and clear skies. However, the intrusion of extra tropical systems (cold fronts) from the west results in snowfall and

strong westerly winds. Low pressure systems dominate over Southern Africa in summer (November to January), bringing tropical air masses and rain across Lesotho. Spring (August to October) and autumn (February to April) are transitional seasons (LMS, 2007).

3.1.3.1 Rainfall

Lesotho experiences summer rainfall patterns, with the highest average monthly rainfall experienced between December and January. The average monthly rainfall reduces during early autumn to winter months as the temperatures also begin to drop.

Rainfall levels vary across the country, with the lowest levels from 450mm/annum being experienced in the Senqu River Valley region due to the heavy rain shadow effect generated by the Maloti Mountains and the Drakensberg escarpment. The highest rainfall levels of approximately 1300mm/annum are experienced in the Highlands region (LMS, 2014). Annual mean rainfall is approximately 700-800mm, of which 85% occurs between October and April, demonstrating the summer rainfall seasonality pattern for Lesotho. The upper and middle Senqu Valley experience comparatively low rainfall (<600mm/annum in some areas), in contrast to the highest lying areas which experience rainfall of >800mm/annum.

The average annual rainfall of Katse Village stands at 700mm/annum while that of Thaba Tseka Town is lower, at 600mm/annum. About 70% of the rainfall in Katse and over 50% in Thaba Tseka Town falls in the period November to March. In recent years, the road corridor, like most of Lesotho, has been experiencing climate change related erratic weather conditions that are characterised by frequent droughts, delayed and erratic rainfall, tornados, heavy snowfalls, and torrential rains.

3.1.3.2 Temperature

The distribution of temperature in Lesotho correlates strongly with altitude, with the warmest areas occurring in the lowlands of the South-West, and the coolest areas in the highlands of the North-East. Mean annual temperature range from 15.2°C in the lowlands to 7°C in the highlands. Average monthly winter minimum temperatures range from –6.3°C in the Highlands to 5.1°C in the lowlands. However, extremes of monthly mean winter minimum temperatures of –10.7°C can be reached, while daily summer maximum temperatures can reach as high as 38.5°C. January records the highest mean maximum temperatures throughout the country, ranging from 20°C in high altitudes to 32°C in the lowlands (LMS, 2014).

The Katse to Thaba Tseka Road corridor falls into the Upper Senqu River valley ecological region which is characterised by warm summers and temperate winters. December-January is the hottest period, with average temperatures of 150C to 18C although day-time temperatures sometimes increase to 22ºC to 24ºC. June-July is the coldest period with average temperatures as low as 5ºC to 6ºC although night-time temperatures can be as low as -2.5ºC. Snow, which is very common in the road corridor in winter months, sometimes falls in summer months on the highest peaks. Snowstorms are a common feature in winter, sometimes leading to the closure of many roads, including the Katse to Thaba Tseka Road, cutting-off many rural communities in the road corridor from accessing socio-economic services.

3.1.4 Land Use and Land Cover

The land use and cover information were taken from the Lesotho Land Cover Atlas (Food and Agriculture Organization of The United Nations, 2017). Below is an extract of the summary from Lesotho atlas. Lesotho, officially the Kingdom of Lesotho, is a small landlocked country surrounded by South Africa. It has a total area of 30 350 km2, a north-south extent of about 230 km and a maximum width of about 210 km. Altitude varies from 1 500 m to 3 482 m. The estimated population of Lesotho is 2.29 million. Population density is the lowest in the highlands.

One of the characteristics of Lesotho's environment is its great variability of landscapes. Lesotho is the only country in the world situated completely over 1000 m of elevation which varies from 1000 m to about 3400 m.

Lesotho cultivable land is largely confined to the lowlands and foothills on the Western border and the Senqu River valley in the south. The country has extensive areas of shrub lands, rangelands, and a modest area of plantation forests (based on Eucalyptus and Pinus), while only about 1 percent of the total land area is forest and woodland.

Smallholder farmers whose farms are generally less than 1 ha in size dominate the agricultural production. Maize is by far the most popular crop accounting for some 60% of the cropped area, sorghum between 10% and 20%, wheat for about 10% and beans for a further 6%.

Lesotho is divided into four major geographical regions:

1. The mountain region covers 18.037 km^2 (59% of the total area of the country) and is characterized by the bare rock outcrops of the Maloti range and deep river valleys, with elevations of 2000 m and above.

2. The foothills region covers 4529 km2 (15%) and lies at elevations from 1800 m to 2000 m between the lowlands and the Maloti Mountains.

3. The lowland region covers 5094 km2 (17%) and is situated along the western border and consists of a narrow belt of land with elevation of 1800 m or less and width between 10 and 65 km.

4. The Senqu Valley covers 2690 km2 (9%) and forms a narrow strip of land that flanks the banks of the Senqu (Orange) River and penetrates deep into the Maloti Mountains; elevations vary from mountains to lowlands.

Land cover information is undoubtedly an important input into predictive models related to environmental protection, such as models for climate change, biodiversity, land degradation, and regional and national food security early warning systems.

Lesotho Land Cover Atlas (Food and Agriculture Organization of The United Nations, 2017) defines seven land cover classes for Thaba-Tseka District as listed below:

i. Built-up (1.5%);

ii. Tress (0.2%);

iii. Hydrological land cover (1%);

iv. Shrubland (17.5%);

v. Barren Areas (3.8%);

vi. Agricultural Land (9.9%); and

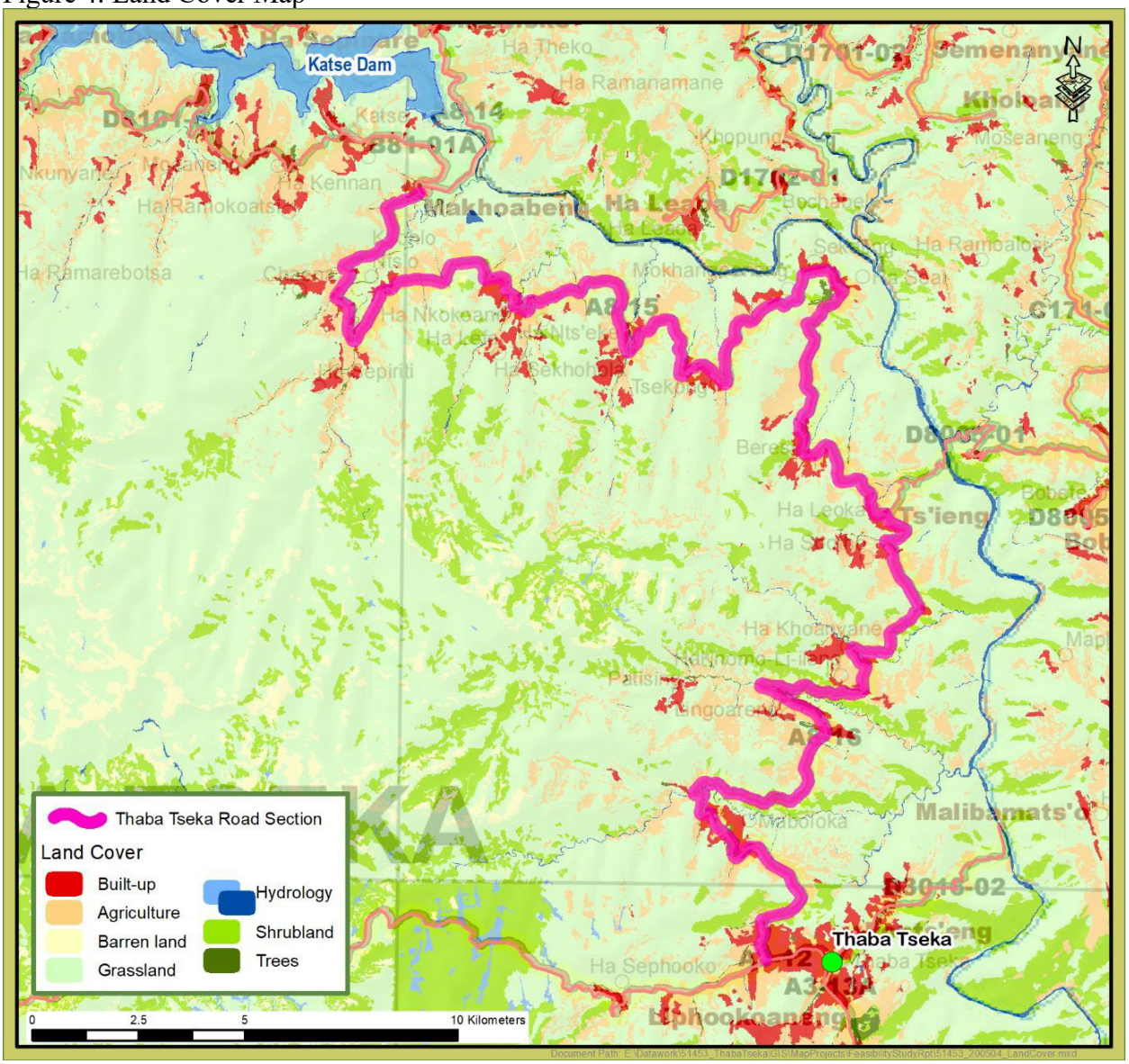
vii. Grass Land (65.2%).

Further information specifically related to Katse to Thaba Tseka region is presented below.

3.1.4.1 Katse to Thaba-Tseka

Based on the Land Cover Map for Katse to Thaba Tseka, Figure 2-4, most part of the project area is classified as “Grassland” with the remainder consisting of shrub land, Built-up and Agriculture. Minimal hydrological land cover occurs within the project area and is therefore not clearly visible in the land cover map.

Figure 4: Land Cover Map



It is anticipated that the development of an all-weather road project from Katse to Thaba Tseka would enhance accessibility. The improved roads will improve access to the rural population to major economic and social infrastructures such as schools, health centres, markets and small businesses and agricultural activities like wool shed in the area. The LHDA dams also offer great opportunities for cold water aquaculture development in the Katse-Thaba Tseka Road corridor. Two cold water fish farms, Sanlei Trout in the south next to the dam wall and Katse Fish Farms at Ha Lejone in the north have since been established on the Katse Dam reservoir where they produce trout for the export market using cage culture. The upgrading of the Katse to Thaba Tseka Road, therefore, is viewed as a positive strategy for the support of aquaculture in the region. The health, nutrition and poverty alleviation benefits of aquaculture have been well documented.

3.1.4.2 Crop Agriculture

Crop agriculture is one of the few dominant activities on the plains of the Katse to Thaba Tseka Road corridor, the main crops grown being maize, sorghum and wheat. The activity is mainly carried out for subsistence, although selling is often done in cases where a surplus is realized or when there is an emergency. Brewing beer for sale, for ceremonies and for leisure is also commonly practiced. However, due to protracted droughts and land degradation, particularly loss of organic content, most of the crops no longer reach their genetic potential, leading to very low yields.

Population growth and associated increased demand for land crop farming, and competition for land from expanding human settlements and other activities such as the construction of road infrastructure, have compelled new entrants into crop farming to resort to the widespread and unsustainable cultivation of steep hill slopes, with serious consequences for sustainable land management. Soil erosion (both sheet and gully) has become rife in the road corridor, with the result that the capacities of cross drainage structures on the Katse to Thaba Tseka Road are overpowered by the road surface damaged.

3.1.4.3 Livestock Rearing

It is generally known that livestock plays a key role in the livelihoods of communities in rural Lesotho by delivering commercial benefits in the form of draught power and export fibre, as well as a wide range of secondary benefits such as provision of protein, slaughter for traditional ceremonies, payment of bridal wealth and sales income for emergencies such as school fees, food relief, etc. Livestock ownership therefore represents a critical strategy of diversifying livelihood sources and hedging against possible livelihood shocks that are generally associated with crop agriculture, but not without a price on the status of natural resources through overgrazing and land degradation.

It has been an uphill battle to balance livestock numbers with sustainable natural resource management in Lesotho, and the Katse-Thaba Tseka Road corridor makes a glaring example of adverse impacts of the livestock industry on the biophysical environment. Amongst others, the impacts include reduced vegetation cover, sheet and gully erosion, shrub encroachment, reduced biodiversity, river sedimentation, reduced riverine biodiversity and lower water tables. Land degradation is particularly more extreme in the Ha Sekhohola to Tšieng stretch of the road corridor where the mountains on which the cattle graze in summer are now bare rock outcrops with minimal soil cover.

Statistics on livestock ownership and herd sizes in the Katse-Thaba Tseka Road corridor are not readily available. However, interview information showed that ownership was widespread. This is because the livestock industry is dependent on free grazing on communal natural resources. However, livestock induced land degradation and loss of vegetation cover are largely blamed for the low water absorption capacity of the terrain in the road corridor which is responsible for local flooding that often threatens the sustainability of the road infrastructure.

Over the past three decades, the Katse to Thaba Tseka Road corridor has witnessed an unprecedented introduction of new land uses in the area. These land uses are spearheaded by large dam construction; the expansion of transport infrastructure, including feeder roads construction; human settlements expansion; social infrastructure development; the location of commercial establishments and the

increase of traffic along the road corridor. These developments have exerted some pervasive impacts on the biological and morphological environment of the corridor.

3.1.5 Geology

The proposed road is underlain by the Lesotho Formation as reflected in Figure 5 below.

Figure 5: Geological Map of Katse to Thaba-Tseka (not to scale).

The Lesotho Formation falls under the Drakensberg Formation of the Karoo Supergroup. The rocks in this formation are entirely tholeiitic basalts which display constant patterns of variation between zones of mascular and vesicular lava with amigdales. The geological information provided in 3.1.5.1 was taken from the 1: 250 000 Geological Map of Lesotho (Department of Mines and Geology).

3.1.5.1 Regional stratigraphy

Lesotho's geological history is underlain with the Kaapvaal Craton which was formed between 3.6 and 2.5 billion years ago. Further geological activity took place in the lower Jurassic to Triassic period, spanning between 250 million to 175 million years ago.

The geology of Lesotho is dominated by the Kaapvaal Craton and can be divided into three major lithostratigraphic complexes.

- Lower Jurassic to Triassic period (between 250 million to 175 million years ago): groups part of the Karoo Supergroup as follows –
    - The Burgersdorp Formation as part of the Beaufort Group
    - The Molteno, Elliot and Clarens Formations as part of the Stormberg Group
    - The Lesotho formation as part of the Drakensburg Group

Various Dolerite dykes and intrusions also occurred during this period.

- Cretaceous period (between 145 and 66 million years ago): Kimberlite pipes and dykes formed during this period.
- Quaternary (2.6 million years ago to current time): Alluvial deposit have and are taking place during the current period.

3.2 Sources of Construction Materials

Some construction materials will be sourced from in-situ material while other construction materials may be sourced from commercial sources.

3.3 Travel

The Contractor will be responsible for his own travel arrangements.

3.4 Customs

The Contractor will be responsible for all customs and excise arrangements, taxes and work permits.

3.5 Power Supply

The Contractor must make his own arrangements concerning the supply of electrical power. No direct payment will be made for the provision of electrical services. The cost of providing these services will be deemed to be included in the rates and amounts tendered for the various items of work for which these services are required.

3.6 Water Supply

The Contractor must make adequate provision in his tender for all negotiations and procurement of

water as well as testing of water for construction activities, and all related costs will be deemed to be included in his tendered rates

3.7 Communications

The Contractor must make his own arrangements concerning his communications. No direct payment will be made for the provision of communication services. The cost of providing these services will be deemed to be included in the rates and amounts tendered for the various items of work for which these services are required.

The Contractor shall supply communications as specified in the Works Contract for the Engineer's personnel. Payment shall be made under the relevant pay items.

4. Environmental and Social (ES) Requirement and additional sustainable Procurement Aspects

The Contractor shall have a suitably qualified, competent ES management team to effectively manage ES risks and impacts of the project. At the minimum, the Contractor shall have an environmental manager, occupational health and safety officer, traffic safety officer and a community liaison officer. The Contractor's ES team shall manage a range of risks and impacts throughout the project phases.

The Contractor shall conduct their pre-construction, construction and post-construction activities in an environmentally and socially sensitive manner. Based on the preliminary Environmental and Social Assessment conducted for this project, the Employer has identified key ES risks and impacts. Contractors are expected to demonstrate capacity and commitment to manage these risks in accordance with applicable standards and project-specific requirements. The summary below outlines key expectations, which will be elaborated in the bidding documents.

1. Sexual Exploitation and Abuse (SEA) & Sexual Harassment (SH)

- Implementing a Code of Conduct for all personnel
- Conduct training and awareness on SEA/SH prevention
- Establish reporting and grievance mechanisms
- Ensure zero tolerance and enforce disciplinary measures

2. Labor and Working Conditions

- Comply with national labour laws, Occupational Health & Safety laws and international standards
- Provide safe working conditions, fair wages, and rest periods
- Ensure non-discrimination, freedom of association, and protection from forced labour
- Maintain worker accommodation standards where applicable

3. Environmental Protection

- Prevent and mitigate soil erosion, land degradation, water contamination, and air pollution
- Implement waste management plans and avoid illegal dumping
- Protect sensitive ecosystems and natural habitats
- Comply with the approved Environmental and Social Management Plans (ESMPs) requirements

4. Security

- Ensure controlled access to construction sites
- Provide security personnel training on human rights and conflict sensitivity
- Prevent unauthorized activities and safeguard equipment

5. Community Health and Safety

- Minimize traffic disruptions and accident risks

- Communicating hazards to local communities
- Prevent dust, noise, and vibration impacts
- Coordinate with local health services as necessary
- Emergency preparedness and response

6. Hazardous Materials Management

- Store and handle hazardous materials in accordance with safety protocols
- Train workers on spill response and emergency procedures
- Prevent leaks, fires, and exposure risks

7. Resource Efficiency and Pollution Prevention

- Optimize use of water, energy, and raw materials
- Reduce greenhouse gas emissions and noise pollution
- Promote reuse and recycling of construction materials

8. Biodiversity and Natural Resource Management

- Avoid construction in critical habitats
- Implement species protection measures
- Restore disturbed areas progressively where possible and post-construction
- Respect local conservation practices and community resource use

9. Stakeholder Engagement and Information Disclosure

- Identify stakeholders, build and maintain a constructive relationship with them (especially project-affected parties)
- Incorporate stakeholders' views into account in project design and environmental and social performance
- Conduct effective and inclusive engagement with project affected parties throughout the project lifecycle
- Disclose environmental and social risks and impacts to stakeholders in a timely, understandable, accessible and appropriate manner and format
- Provide project-affected parties with accessible and inclusive means to raise issues and grievances, and to and manage such grievances

10. ES Performance Management and Reporting

Contractors shall produce plans as per recommendations of the project Environmental and Social Management Commitment Plan (ESCP), these plans shall include but are not limited to Occupational Health & Safety (OHS) plan, Emergency Preparedness Plan, Worker Grievance mechanism, traffic management plan *etc*.

Contractor shall provide monthly monitoring reports on ESHS performance in accordance with the metrics specified in the bidding documents and contracts for submission to the Bank.

Contractor should note that this summary is indicative and not exhaustive. Detailed ES requirements, including performance standards, monitoring obligations, and compliance mechanisms, will be provided in the bidding documents. No provision in this summary shall override or contradict the full requirements therein.